

U.S. Express Mail No.: ER074143355US  
Attorney Docket No.: AM-3708.C1

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION TRANSMITTAL LETTER**

In Re Patent Application Of:	)	Group Art Unit,
Stephen Moffatt	)	Parent Application: 2881
	)	
Application No.: Not Yet Assigned	)	Examiner,
	)	Parent Application: J. L. Smith
Filing Date: November 10, 2003	)	
	)	
Title: SUBSTRATE HOLDER FOR	)	
RETAINING A SUBSTRATE	)	
WITHIN A PROCESSING CHAMBER	)	Attorney Docket No.: AM-3708.C1
	)	

November 10, 2003

**CERTIFICATE OF MAILING UNDER 37 CFR § 1.10**

"U.S. EXPRESS MAIL" MAILING LABEL NUMBER : ER074143355US

DATE OF DEPOSIT: November 10, 2003

I hereby certify that this application is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR § 1.10 having the MAILING LABEL NUMBER referenced above, addressed to Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the DATE OF DEPOSIT referenced above.

Shirley L. Church (Signature)  
By: Shirley L. Church, Reg. No. 31,858 (Type Name)  
Signature Date: November 10, 2003

**CONTINUATION APPLICATION TRANSMITTAL LETTER**

**Mail Stop Patent Application**  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Sir:

Applicant hereby files a ☒ continuation ☐ divisional ☐ continuation-in-part application under 37 CFR § 1.53(b) of the prior complete application identified below.

**IDENTIFICATION OF PRIOR COMPLETE APPLICATION**

Title: As originally filed - METHOD AND APPARATUS OF HOLDING SEMICONDUCTOR WAFERS FOR LITHOGRAPHY AND OTHER WAFER PROCESSES



Pending Application Number: 10/049,755

Filing Date: February 12, 2002

Inventor: Stephen Moffatt

Enclosed is a copy of the original, first, prior complete application, including a copy of the oath or declaration showing the signature of the inventors. The enclosed papers are a true copy of the prior complete application as filed, including the specification, claims, drawings and signed oath or declaration, and any amendments referred to in the oath or declaration filed to complete the prior application.

#### INVENTORS

1. ☒ The inventors of the present application are the same as in the prior complete application.
2. ☐ The inventor(s) of the present application have been changed to include the following named inventor(s): \_\_\_\_\_
  - a. ☐ It is requested that the following persons be deleted as inventor(s) in the present application: \_\_\_\_\_
  - b. ☐ The present application is a continuation-in-part of the prior complete application, and an oath or declaration as required under 37 CFR § 1.63 accompanies this paper.

#### AMENDMENTS

3. ☐ Enter the amendment previously filed under 37 CFR § 1.116, but unentered, in the prior application.
4. ☒ A preliminary amendment is enclosed.
5. ☒ Amend the specification by inserting before the first line the sentence: -- This application is a continuation application of Application Serial No. 10/049,755, filed February 12, 2002, which is currently pending; which claims priority under 35 USC § 119 to PCT Application No. PCT/US00/21377, filed August 4, 2000, which claims priority to U.S. Provisional Application Serial No. 60/147,684, filed August 6, 1999. -- .
6. ☐ The title in the present application is as follows: SUBSTRATE HOLDER FOR RETAINING A SUBSTRATE WITHIN A PROCESSING CHAMBER..

**INTERNATIONAL PRIORITY**

7. ☒ Priority of Application Serial No. PCT/US00/21377, filed on August 4, 2000, is claimed under 35 USC § 119.

8. ☐ The certified copy of the priority application:

☐ is enclosed;

☒ was filed in prior Application Serial No. PCT/US00/21377, filed on August 4, 2000;

☐ has not been filed.

**PRIORITY UNDER 35 USC § 120**

9. ☒ Applicants hereby claim priority under 35 USC § 120, wherein the priority application is Serial No. 60/147,684.

**ATTORNEY OR AGENT OF RECORD**

10. ☒ The power of attorney in the prior application is to: Robert W. Mulcahy (Reg. No. 25,436) and Shirley L. Church (Reg. No. 31,858).

a. ☐ The power appears in the original papers in the prior application.

b. ☒ Since the power does not appear in the original papers, a copy of the power in the prior application is enclosed.

11. ☒ A copy of the Declaration in priority applications U.S. Serial No. 10/049,755 is enclosed.

12. ☐ A copy of an Associate Power of Attorney in the parent application is enclosed.

**OTHER**

13. ☒ Also enclosed: Copy of the assignment documents in priority application U.S. Serial No. 10/049,755, showing Applied Materials, Inc. of Santa Clara, California, as the assignee.

14. ☐ New drawings are enclosed (☐ formal ☐ informal)

15. ☒ Cancel in this application Claims 2, 9, 21, 27, 30, and 37 of the prior application before calculating the filing fee. (At least one independent claim must be retained for filing purposes.)
16. ☐ Cancel in this application all claims of the prior application and substitute the claims contained in the attached Preliminary Amendment prior to calculating the filing fee.
17. ☐ Add the additional claims in the attached Preliminary Amendment prior to calculating the filing fee.

**FEES DUE**

18.

CLAIMS					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$ 770.00
Total Claims	34	MINUS 20 =	14	x \$18 =	\$ 252.00
Independent Claims	6	MINUS 3 =	3	x \$86 =	\$ 00.00
If multiple dependent claims are presented, add \$290.00					\$ 00.00
<b>TOTAL APPLICATION FEE DUE</b>					<b>\$ 1,022.00</b>

19. ☐ A Petition for Extension of Time to respond to the outstanding official action in the prior application, up to and including the filing date of the present application, is enclosed, the fee for which is \$ \_\_\_\_.

**PAYMENT OF FEES**

20. The full fee due in connection with this communication is \$ 1,022.00, and is provided as follows:

a. ☐ Check No. \_\_\_\_ in the amount of \$ \_\_\_\_ is enclosed.

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- b. [X] The Commissioner is hereby authorized to charge the filing fee of \$ 1,022.00, as well as any additional fees which may be due under 37 CFR §§ 1.16 and 1.17, which are required by this paper, and to credit any overpayment, to Deposit Account No. 50-1074 of Applied Materials, Inc., Santa Clara, California.

This transmittal letter is submitted in duplicate.

**CORRESPONDENCE ADDRESS**

Address all future communications to:

Patent Counsel  
Applied Materials, Inc.  
P.O. Box 450 A  
Santa Clara, California 95052

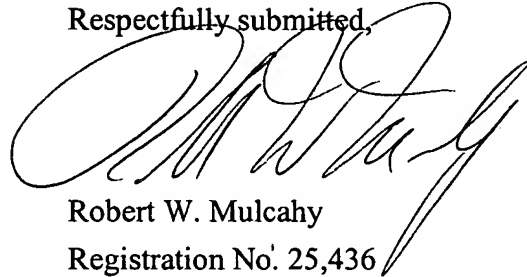
Address telephone calls to:

Shirley L. Church, Esq.  
(650) 473-9700

U.S. Express Mail No. PER074143355US

Docket No. AM-3708-C1

Respectfully submitted,

A large, stylized handwritten signature in black ink, appearing to read 'R. W. Mulcahy', is written over the printed name and registration number.

Robert W. Mulcahy

Registration No. 25,436